**Ethics self-assessment**

*Please fill out the questionnaire below about ethical issues – Answer only “Yes” or “No”.*

***Please note that providing a duly filled in ethics self-assessment is part of the eligibility criteria.***

*The questionnaire is based on the ethics self-assessment for Horizon 2020 (H2020) projects[[1]](#footnote-1). ARISE is co-funded by H2020 programme and, thus, projects funded by ARISE must comply with H2020 ethical requirements.*

*If you answered "Yes" to any of the questions below, you must provide additional information about how these issues will be addressed in the section 3. "Ethics" (see above)*.

|  |  |  |
| --- | --- | --- |
| **Section 1. Human embryos/foetuses** | **Yes** | **No** |
| Does your research involve Human Embryonic Stem Cells (hESCs)? | [ ]  | [ ]  |
| Does your research involve the use of human embryos?  | [ ]  | [ ]  |
| Does your research involve the use of human foetal tissues / cells?  | [ ]  | [ ]  |
| **Section 2. Humans** | **Yes** | **No** |
| Does your research involve human participants? | [ ]  | [ ]  |
| Does your research involve physical interventions on the study participants? | [ ]  | [ ]  |
| **Section 3. Human cells/tissues** | **Yes** | **No** |
| Does your research involve human cells or tissues (other than from human embryos/foetuses? | [ ]  | [ ]  |
| **Section 4. Protection of Personal Data** | **Yes** | **No** |
| Does your research involve processing of personal data? | [ ]  | [ ]  |
| Does your research involve further processing of previously collected personal data (including use of pre-existing data sets or sources, merging existing data sets)? | [ ]  | [ ]  |
| Does your research involve publicly available data? | [ ]  | [ ]  |
| Is it planned to export personal data from the EU to non-EU countries?  | [ ]  | [ ]  |
| Is it planned to import personal data from non-EU countries into the EU? | [ ]  | [ ]  |
| **Section 5. Animals** | **Yes** | **No** |
| Does your research involve animals? | [ ]  | [ ]  |
| **Section 6. Non-EU countries** | **Yes** | **No** |
| In case non-EU countries are involved, do the research related activities undertaken in these countries raise potential ethics issues? | [ ]  | [ ]  |
| Is it planned to use local resources (e.g. animal and/or human tissue samples, genetic material, live animals, human remains, materials of historical value, endangered fauna or flora samples, etc.)? | [ ]  | [ ]  |
| Is it planned to import any material from non-EU countries into the EU? | [ ]  | [ ]  |
| Is it planned to export any material from the EU to non-EU countries? | [ ]  | [ ]  |
| In case research involves low and/or lower-middle income countries, are any benefit-sharing actions planned? | [ ]  | [ ]  |
| Could the situation in the country put the individuals taking part in the research at risk? | [ ]  | [ ]  |
| **Section 7. Environment** | **Yes** | **No** |
| Does your research involve the use of elements that may cause harm to the environment, to animals or plants? | [ ]  | [ ]  |
| Does your research deal with endangered fauna and/or flora /protected areas? | [ ]  | [ ]  |
| Does your research involve the use of elements that may cause harm to humans, including research staff? | [ ]  | [ ]  |
| **Section 8. Dual use** | **Yes** | **No** |
| Does this research involve dual-use items in the sense of [Regulation 428/2009](https://eur-lex.europa.eu/legal-content/EN/TXT/?qid=1399888895034&uri=CELEX:02009R0428-20120615), or other items for which an authorisation is required? Dual use items according to Horizon 2020 programme are items, including software and technology, which can be used for both civil and military purposes, and shall include all goods which can be used for both non-explosive uses and assisting in any way in the manufacture of nuclear weapons or other nuclear explosive devices. | [ ]  | [ ]  |
| **Section 9. Exclusive focus on civil applications** | **Yes** | **No** |
| Could your research raise concerns regarding the exclusive focus on civil applications? (research projects funded under H2020 programme must be exclusively focused on civil applications and cannot be used for military purposes) | [ ]  | [ ]  |
| **Section 10. Misuse** | **Yes** | **No** |
| Does your research have a potential for misuse of research results? | [ ]  | [ ]  |
| **Section 11. Other ethics issues** | **Yes** | **No** |
| Are there any other ethics issues that should be taken into consideration? | [ ]  | [ ]  |

1. Horizon 2020 guide “How to complete your ethics self-assessment”: <https://ec.europa.eu/research/participants/data/ref/h2020/grants_manual/hi/ethics/h2020_hi_ethics-self-assess_en.pdf> [↑](#footnote-ref-1)